

A ρ -INVARIANT OF ITERATED TORUS KNOTS

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ABSTRACT. We compute ρ -invariant for iterated torus knots K for the standard representation $\pi_1(S^3 \setminus K) \rightarrow \mathbb{Z}$ given by abelianisation. For algebraic knots, this invariant turns out to be very closely related to an invariant of a plane curve singularity, coming from algebraic geometry.

1. INTRODUCTION

A von Neumann ρ -invariant (also called L^2 -signature, or L^2 -eta invariant) of a real closed 3-manifold M is a real number $\rho_\phi(M)$ associated to every representation $\phi : \pi_1(M) \rightarrow \Gamma$, where Γ is any group satisfying PTFA condition (see [COT1, Definition 2.1]). As a special case, if K is a knot in a 3-sphere, and we consider representations of the fundamental group of the manifold $S_0^3(K)$ (i.e. a zero surgery on K), then we can talk about the ρ -invariants of knots. In particular, the representation $ab : \pi_1(S^3 \setminus K) \rightarrow \mathbb{Z}$, given by abelianization, gives rise to the representation $\tilde{ab} : \pi_1(S_0^3(K)) \rightarrow \mathbb{Z}$ and the corresponding invariant, $\rho_{ab}(K)$, turns out to be the integral over normalised unit circle of the Tristram–Levine signature of a knot.

The ρ -invariants for knots have been introduced first in [ChG]. They were then deeply studied in [COT1]. In their seminal paper, the authors observed that they are a very subtle obstruction for some knots to be slice. Namely, let us be given a knot K bounding a disk D in the ball B^4 . Let $Y = \partial(B^4 \setminus \nu(D))$, where ν denotes the tubular neighbourhood. Then Y is canonically isomorphic to $S_0^3(K)$, and, for any representation $\phi : \pi_1(Y) \rightarrow \Gamma$ that can be extended to $\tilde{\phi} : \pi_1(B^4 \setminus \nu(D)) \rightarrow \Gamma$, the corresponding ρ -invariant must vanish. This allows to construct examples of non-slice knots, undistinguishable from slice knots by previously known methods as the Tristram–Levine signature or the Casson–Gordon invariants.

The difficulty of computability of ρ -invariants is the cost of their subtlety. Only in the first nontrivial case of the representation given by ab , there is a general method of computing this invariant, namely integrating the Tristram–Levine signature. In papers [COT2], [Ha], and others, these

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invariants were computed also for some other representations of the knot group. But there, the choice of knots is very specific.

In this paper we focus on ρ_{ab} -invariant and compute it for all iterated torus knots. The computation consists of integrating the Tristram–Levine signature, which is not a completely trivial task. In fact, we do even more: we compute the Fourier transform of the Tristram–Levine signature function of iterated torus knot. This transform can be expressed by a surprisingly simple formula. In particular, this method can be used to detect knots, which are connected sums of iterated torus knots and which have identical Tristram–Levine signature.

What we find most interesting and striking about ρ_{ab} of algebraic knots, is its relation with deep algebro-geometrical invariants of the plane curve singularity. We state this relation, in terms of a uniform bound (see Proposition 4.6) but, honestly speaking, we are far from understanding it. Moreover, this relation is not that clear for algebraic links, as we show on an example.

The structure of the paper is the following. In Section 2 we recall, how to compute the Tristram–Levine signature for iterated torus knots and formulate Theorem 2.8. Then we deduce some of its corollaries. In Section 3 we prove Theorem 2.8. In Section 4 we recall definitions of some invariants of plane curve singularities and compare them to ρ_{ab} for algebraic knots. We end this section by computing the ρ_{ab} for a (d, d) torus link, i.e., the link of singularity $x^d - y^d = 0$.

We apologise the reader for not giving a definition of the ρ -invariant. A precise definition from scratch, including necessary definitions of twisted signature of a 4-manifold, would make this paper twice as long. Instead we refer to [COT1, Section 5], or, for more detailed treatment, to a book by Lück [Lu].

We end this introduction by remarking that the ρ invariants were also studied in the context of mixed Hodge structures of hypersurface singularities. The η invariant, defined, for instance, in [Ne1, Section I], is closely related to the ρ_{ab} invariant in the case of plane curve singularities. We refer to [Ne2, Ne3] for the detailed study of this invariant.

2. TRISTRAM–LEVINE SIGNATURE OF TORUS KNOTS

We begin this section with some definitions, which we give also to fix the notation used in the article.

Definition 2.1. A knot is called an *iterated torus knot* if it arises from an unknot by finitely many cabling operations. An iterated torus knot is of type $(p_1, q_1, \dots, p_n, q_n)$ if it is a (p_1, q_1) cable of (p_2, q_2) cable of \dots of (p_n, q_n) cable of an unknot. For example, a torus knot $T_{p,q}$ is an iterated torus knot of type (p, q) .

Definition 2.2. Let K be a knot, S its Seifert matrix. Let $\zeta \in \mathbb{C}$, $|\zeta| = 1$. The *Tristram–Levine signature*, $\sigma_K(\zeta)$ is the signature of the hermitian form

given by

$$(2.1) \quad (1 - \zeta)S + (1 - \bar{\zeta})S^T.$$

It is well-known that the form (2.1) is degenerate (i.e. has non-trivial kernel) if and only if ζ is a root of the Alexander polynomial Δ_K of K . The function $\zeta \rightarrow \sigma_K(\zeta)$ is piecewise constant with possible jumps only at the roots of the Alexander polynomial $\Delta_K(\zeta)$. The value of σ_K at such root can *a priori* be different then left or right limit of σ_K at that point. However, there are only finitely many such values and they do not influence the integral. As we do not want to take care of this values, we introduce a very handy notion.

Definition 2.3. We shall say that two piecewise-constant functions from a unit circle (or a unit interval) to real numbers are *almost equal* if they are equal at all but finitely many points.

We would like to compute ρ_{ab} for an iterated torus knot. We will use Proposition 5.1 from [COT2], which we can formulate as follows.

Proposition 2.4. *For any knot $K \subset S^3$ we have*

$$\rho_{ab}(K) = \int_0^1 \sigma_K(e^{2\pi i x}) dx.$$

Therefore, what we have to do, is to compute the integral of the Tristram–Levine signature for an iterated torus knot. We begin with recalling results from [Li], where the function σ_K is computed for iterated torus knots.

Let p, q be coprime positive integers. Let x be in the interval $[0, 1]$. Consider the set

$$\Sigma = \Sigma_{p,q} = \left\{ \frac{k}{p} + \frac{l}{q} : 1 \leq k < p, 1 \leq l < q \right\} \subset [0, 2] \cap \mathbb{Q}.$$

The function $s_{p,q}(x)$ is defined as

$$s_{p,q}(x) = -2\#\Sigma \cap (x, x+1) + \#\Sigma.$$

Lemma 2.5 ([Li]). *If $\zeta = e^{2\pi i x}$ is not a root of the polynomial $(t^{pq} - 1)(t - 1)/(t^p - 1)(t^q - 1)$, then the Tristram–Levine signature of the torus knot $T_{p,q}$ at ζ is equal to $s_{p,q}(x)$.*

Therefore, computing the ρ -invariant of a torus knot boils down to computing the integral of the function $s_{p,q}(x)$. Before we do this, let us show, how one can compute the Tristram–Levine signatures of an iterated torus knot. We shall need another lemma from [Li].

Lemma 2.6. *Let K be a knot and $K_{p,q}$ be the (p, q) -cable on K . Then for any $\zeta \in \mathbb{C}$, $|\zeta| = 1$, we have*

$$\sigma_{K_{p,q}}(\zeta) = \sigma_K(\zeta^q) + \sigma_{T_{p,q}}(\zeta).$$

This allows a recursive computation for an iterated torus knot. Namely, let for $r > 1$

$$s_{p,q;r}(x) = s_{p,q}(\lfloor rx \rfloor).$$

Corollary 2.7. *Let K be an iterated torus knot of type $(p_1, q_1, \dots, p_n, q_n)$. Let $x \in [0, 1]$ be such that $e^{2\pi i x}$ is not a root of the Alexander polynomial of K . Denote by $r_k = q_1 \dots q_{k-1}$. Then*

$$\sigma_K(e^{2\pi i x}) = \sum_{k=1}^n s_{p_k, q_k, r_k}(x).$$

The core of this section is

Theorem 2.8. *For any $\beta \in \mathbb{C}$ which is not an integer divisible by r we have*

$$(2.2) \quad \int_0^1 e^{\pi i \beta x} s_{p,q,r}(x) dx = \frac{2e^{\pi i \beta/2} \sin \frac{\pi \beta}{2}}{\pi \beta} n_{p,q;r}\left(\frac{\pi \beta}{2}\right),$$

where

$$n_{p,q;r}(t) = \cot \frac{t}{pqr} \cot \frac{t}{r} - \cot \frac{t}{pr} \cot \frac{t}{qr}.$$

In particular, by taking a limit $\beta \rightarrow 0$ we get

$$\int_0^1 s_{p,q,r} = -\frac{1}{3} \left(p - \frac{1}{p}\right) \left(q - \frac{1}{q}\right).$$

Remark 2.9. The function $n_{p,q;r}(t)$ will be called *normalised Fourier transform*.

We prove Theorem 2.8 in Section 3. Now we pass to corollaries.

Corollary 2.10. *The ρ_{ab} invariant of an iterated torus knot is equal to*

$$-\frac{1}{3} \sum_{k=1}^n \left(p_k - \frac{1}{p_k}\right) \left(q_k - \frac{1}{q_k}\right).$$

Apart of this corollary, Theorem 2.8 has its interest of its own. In fact, it might help to study possible cobordism relations between iterated torus knot. For example, Litherland showed in [Li], that the connected sum of knots $T_{2,3}$, $T_{3,5}$ and a $(2, 5)$ -cable on $T_{2,3}$ has the same Tristram–Levine signature as a $T_{6,5}$. It might be possible that normalised Fourier transforms of torus knots can help studying similar phenomena. This could be done as follows.

Lemma 2.11. *Let us be given two finite sets I and J of triples of integers $\{p, q, r\}$. Then the difference*

$$(2.3) \quad \Delta_{IJ}(x) := \sum_{i \in I} s_{p_i, q_i, r_i}(x) - \sum_{j \in J} s_{p_j, q_j, r_j}(x)$$

is almost equal to zero for $x \in [0, 1]$, if and only if the difference

$$(2.4) \quad \hat{\Delta}_{IJ}(t) := \sum_{i \in I} n_{p_i, q_i, r_i}(t) - \sum_{j \in J} n_{p_j, q_j, r_j}(t)$$

is equal to zero on some open subset in \mathbb{C} .

Sketch of proof. The 'only if' part is trivial. To prove the 'if' part we observe that $\widehat{\Delta}_{IJ}(t) \cdot \frac{t}{e^t \sin t}$ is, up to a multiplicative constant, and up to rescaling of the parameter t , the Fourier transform of $\Delta_{IJ}(x)$, when we extend $\Delta_{IJ}(x)$ by 0 to the whole real line. On the other hand, vanishing of $\widehat{\Delta}_{IJ}(t)$ on some open subset of \mathbb{C} implies that it is everywhere 0. \square

Proposition 2.12. *The condition that $\Delta_{IJ}(x)$ is almost equal to zero is equivalent to the fact, that two following conditions are satisfied at once*

- (a) $\sum_{i \in I} (p_i - \frac{1}{p_i})(q_i - \frac{1}{q_i}) = \sum_{j \in J} (p_j - \frac{1}{p_j})(q_j - \frac{1}{q_j})$.
- (b) *For any t_0 such that $\pi r_k t_0 \in \mathbb{Z}$ for some $k \in I \cup J$ the residuum at t_0 of $\widehat{\Delta}_{IJ}(t)$ is zero.*

Remark 2.13. If T is the least common multiplier of $p_k q_k r_k$ for $k \in I \cup J$, then $T\pi$ is the period of $\widehat{\Delta}_{IJ}(t)$. It follows that the condition (b) involves only finitely many equations.

Proof of Proposition 2.12. Vanishing of $\widehat{\Delta}_{IJ}(t)$ clearly implies (b). The equality in (a) is equivalent to $3\widehat{\Delta}_{IJ}(0) = 0$. We shall prove that (b) implies that $\widehat{\Delta}_{IJ}(t)$ is bounded on \mathbb{C} . This is done as follows.

Observe that, in general, $\widehat{\Delta}_{IJ}(t)$ can have poles only at such t_0 's, that $\pi r_k t_0 \in \mathbb{Z}$, for some $k \in I \cup J$. Moreover, these poles are at most of order 1: in fact, it is a matter of simple computation, that $n_{p,q;r}$ does not have a pole of order 2. Therefore, condition (b) implies that the $\widehat{\Delta}_{IJ}(t)$ extends holomorphically across points $\frac{n}{\pi r_k}$, where $k \in I \cup J$ and $n \in \mathbb{Z}$. As this function is periodic with real period, for any $\delta > 0$ it is bounded on the strip $|\operatorname{Im} t| \leq \delta$ by some constants, depending of course of δ .

A uniform bound on $\widehat{\Delta}_{IJ}(t)$ for $|\operatorname{Im} t| \geq \delta$ results from the standard estimate $|\cot t|^2 \leq 1 + \frac{1}{(\operatorname{Im} t)^2}$. Hence, if (b) holds, then the function $\widehat{\Delta}_{IJ}(t)$ is a bounded holomorphic function, by Liouville's theorem it is then constant. The condition (a) implies then that it vanishes at 0, so it is zero everywhere. \square

3. PROOF OF THEOREM 2.8

To make computations at least a bit more transparent, let us first assume that $r = 1$. The function $s_{p,q}$ can be expressed as the sum

$$s_{p,q}(x) = 2 \sum_{\substack{\alpha < 1/2 \\ \alpha \in \Sigma_{p,q}}} \chi_{(\alpha, 1-\alpha)}(x) - 2 \sum_{\substack{\alpha \in (1/2, 1) \\ \alpha \in \Sigma_{p,q}}} \chi_{(1-\alpha, \alpha)}(x),$$

where $\chi_{(a,b)}$ is the characteristic function of the interval (a, b) . Therefore

$$(3.1) \quad \int_0^1 s_{p,q}(x) e^{\pi i \beta x} dx = -\frac{2}{\pi i \beta} \sum_{\substack{\alpha < 1 \\ \alpha \in \Sigma_{p,q}}} e^{\pi i \alpha \beta} - e^{\pi i \beta (1-\alpha)}.$$

We have

$$\sum_{\substack{\alpha \leq 1 \\ \alpha \in \Sigma_{p,q}}} e^{\pi i \alpha \beta} = \sum_{k=1}^{p-1} \sum_{\substack{l=1 \\ l < q(1-k/p)}}^{q-1} e^{\pi i \beta(k/p + l/q)}.$$

The internal sum on the right hand side is the sum of geometric series (here we use the assumption that β is not an integer) and can be expressed as

$$\frac{1}{1 - e^{\pi i \beta/q}} (e^{\pi i \beta k/p} - e^{\pi i \beta(k/p + l_k/q)}),$$

where l_k satisfies

$$k/p + l_k/q > 1 > k/p + (l_k - 1)/q.$$

So we have

$$(3.2) \quad \sum_{\substack{\alpha \leq 1 \\ \alpha \in \Sigma_{p,q}}} e^{\pi i \alpha \beta} = \frac{\sum_{k=1}^{p-1} e^{\pi i \beta k/p} - \sum_{k=1}^{p-1} e^{\pi i \beta(k/p + l_k/q)}}{1 - e^{\pi i \beta/q}}.$$

The first sum in the denominator is again a geometric series. As to the second one, let us denote

$$\gamma_k = k/p + l_k/q.$$

Then γ_k 's have the following obvious properties

- (a) γ_k 's are all different;
- (b) $1 + \frac{1}{pq} \leq \gamma_k \leq 1 + \frac{p-1}{pq}$;
- (c) each γ_k is of the form $1 + a_k/pq$ with a_k an integer.

By the Dirichlet principle the set $\{\gamma_1, \dots, \gamma_{p-1}\}$ is the same as the set $\{1 + 1/pq, \dots, 1 + (p-1)/pq\}$. Therefore, the second sum in the denominator (3.2), upon reordering, can be expressed as

$$\sum_{m=1}^{p-1} e^{\pi i \beta(1+m/pq)},$$

which again is a geometric series. Putting things all together we get

$$(3.3) \quad \sum_{\substack{\alpha \leq 1 \\ \alpha \in \Sigma_{p,q}}} e^{\pi i \alpha \beta} = \frac{1}{1 - e^{\pi i \beta/q}} \left(\frac{e^{\pi i \beta/p} - e^{\pi i \beta}}{1 - e^{\pi i \beta/p}} - \frac{e^{\pi i \beta(1+1/pq)} - e^{\pi i \beta(1+1/q)}}{1 - e^{\pi i \beta/pq}} \right).$$

On the other hand, we have

$$\sum_{\substack{\alpha \leq 1 \\ \alpha \in \Sigma_{p,q}}} e^{\pi i (1-\alpha) \beta} = e^{\pi i \beta} \sum_{\substack{\alpha \leq 1 \\ \alpha \in \Sigma_{p,q}}} e^{\pi i \alpha (-\beta)},$$

and the sum on the right hand side is just (3.3) with $-\beta$ substituted in place of β . Substituting this into (3.1), and applying the formula $e^{\pi i a} - e^{\pi i b} = 2ie^{\pi i(a+b)/2} \sin \frac{\pi(a-b)}{2}$ several times, we arrive finally at

$$\int_0^1 s_{p,q}(x) e^{\pi i \beta} dx = \frac{2e^{\pi i \beta/2} \sin \frac{\pi \beta}{2}}{\pi \beta} \left(\cot \frac{\pi \beta}{2pq} \cot \frac{\pi \beta}{2} - \cot \frac{\pi \beta}{2p} \cot \frac{\pi \beta}{2q} \right).$$

To conclude the proof in the case $r > 1$ we observe that

$$\begin{aligned} s_{p,q;r}(x) = & 2 \sum_{\substack{\alpha < 1/2 \\ \alpha \in \Sigma_{p,q}}} \sum_{k=0}^{r-1} \chi_{\left(\frac{\alpha+k}{r}, \frac{1-\alpha+k}{r}\right)}(x) + \\ & -2 \sum_{\substack{\alpha \in (1/2, 1) \\ \alpha \in \Sigma_{p,q}}} \sum_{k=0}^{r-1} \chi_{\left(\frac{1-\alpha+k}{r}, \frac{\alpha+k}{r}\right)}(x) \end{aligned}$$

Thus

$$(3.4) \quad \int_0^1 s_{p,q;r} e^{\pi i \beta x} dx = \frac{-2}{\pi i \beta} \sum_{\substack{\alpha < 1 \\ \alpha \in \Sigma_{p,q}}} \sum_{k=0}^{r-1} e^{\pi i \beta(\alpha/r + k/r)} - e^{\pi i \beta(1-\alpha/r - k/r)}.$$

Now, for fixed α we have

$$\sum_{k=0}^{r-1} e^{\pi i \beta(\alpha/r + k/r)} = e^{\pi i \alpha(\beta/r)} \sum_{k=0}^{r-1} e^{\pi i \beta k/r} = e^{\pi i \alpha(\beta/r)} \frac{1 - e^{\pi i \beta}}{1 - e^{\pi i \beta/r}}.$$

Therefore, returning to (3.4) we get

$$\sum_{\substack{\alpha < 1 \\ \alpha \in \Sigma_{p,q}}} \sum_{k=0}^{r-1} e^{\pi i \beta(\alpha/r + k/r)} = \frac{1 - e^{\pi i \beta}}{1 - e^{\pi i \beta/r}} \sum_{\substack{\alpha < 1 \\ \alpha \in \Sigma_{p,q}}} e^{\pi i \alpha(\beta/r)}.$$

We can use (3.3) again, substituting β/r in place of β . Similarly we can deal with a sum of terms $e^{\pi i \beta(1-\alpha/r - k/r)}$. Now straightforward but long computations yield the formula (2.2).

4. RELATION WITH ALGEBRAIC INVARIANTS

The setup in this section is the following. Let $(C, 0) \subset \mathbb{C}^2$ be germ of a plane curve singularity with one branch. This means that there exists a local parametrisation $C = (x(t), y(t))$, with x and y analytic functions in one variable with $x(0) = y(0) = 0$. Let us assume that the Puiseux expansion of y in fractional powers of x written is the multiplicative form (see [EN, page 49]) is

$$y = x^{q_1/p_1} (a_1 + x^{q_2/p_1 p_2} (a_2 + \dots + x^{q_s/p_1 p_2 p_3 \dots p_s} (a_s + \dots))),$$

with $q_1 > p_1$ (otherwise we switch x with y), $\gcd(q_i, p_i) = 1$ and $p_i, q_i > 0$. The pairs $(p_1, q_1), \dots, (p_n, q_n)$ are called characteristic pairs (or Newton

pairs) of the singularity. They completely determine the topological type of the singular point.

Lemma 4.1 (see e.g. [EN]). *Put $a_1 = q_1$ and $a_{k+1} = p_{k+1}p_k a_k + q_{k+1}$. Then the link of the singularity $(C, 0)$ is an iterated torus knot. More precisely, it is a (p_n, a_n) cable on (p_{n-1}, a_{n-1}) cable on \dots on (p_1, a_1) torus knot*

Remark 4.2. The ordering of cables in [EN] is different than in [Li]. According to Definition 2.1, the link of the singularity $(C, 0)$ above would be an iterated torus knot of type $(p_n, q_n, p_{n-1}, q_{n-1}, \dots, p_1, q_1)$.

Corollary 4.3. *The ρ_{ab} invariant of an algebraic knot is equal to*

$$(4.1) \quad \rho_{ab} = -\frac{1}{3} \sum_{k=1}^n \left(a_k p_k - \frac{a_k}{p_k} - \frac{p_k}{a_k} + \frac{1}{p_k a_k} \right).$$

It is on purpose that we wrote formula (4.1) in a different shape than in Corollary 2.10.

Let us now resolve the above singularity. This means that we have a map $\pi : (X, E) \rightarrow (U, 0)$, where U is a neighbourhood of 0 in \mathbb{C}^2 , E is the exceptional divisor and X is a complex surface. We require the strict transform C' to be smooth, $C' \cup E$ to have only normal crossings as singularities and the resolution to be minimal, so that we cannot blow-down any exceptional curve without violating one of the two above assumptions.

Put $K = K_X$ the canonical divisor on X and let $D = C' + E_{red}$. Here, the subscript 'red' means that we take a reduced divisor, i.e. coefficients with all components are equal to 1.

Lemma 4.4 ([OZ]). *Using the notation from this section, we have*

$$(4.2) \quad (K + D)^2 = a_1 p_1 - \left\lceil \frac{a_1}{p_1} \right\rceil - \left\lceil \frac{p_1}{a_1} \right\rceil + \sum_{k=2}^n \left(a_k p_k - \left\lceil \frac{a_k}{p_k} \right\rceil \right),$$

where $(K + D)^2$ denotes the self-intersection of the divisor $K + D$, and $\lceil x \rceil = \min(n \in \mathbb{Z}, n \geq x)$.

On the one hand $(K + D)^2$ has a very natural meaning. Namely, at least for unbranched singularities, this is the sum of the Milnor number μ and so called \bar{M} number of singularity. The latter, introduced in [Or] and studied in [BZ], can be interpreted as a parametric codimension of a singular point, i.e. the number of locally independent conditions, which are imposed on a curve given in parametric form, by the appearance of the singularity of given topological type.

On the other hand there is an apparent similarity of left hand sides of formulae (4.1) and (4.2). To make it even more similar, let us take a Zariski–Fujita [Fuj] decomposition of the divisor $K + D$. We have then

$$K + D = H + N$$

with H nef (its intersection with any algebraic curve in X is non-negative), N effective and $N^2 < 0$, $H \cdot N' = 0$ for any N' supported on $\text{supp } N$.

Lemma 4.5 ([OZ]).

$$(4.3) \quad H^2 = a_1 p_1 - \frac{a_1}{p_1} - \frac{p_1}{a_1} + \sum_{k=2}^n \left(a_k p_k - \frac{a_k}{p_k} \right).$$

In the case of unibranched singularity, the quantity H^2 is the sum of Milnor number and so called M -number (without a bar) of singular point. Its importance lies in the fact that the sum of M -numbers of all singular points of an algebraic curve in \mathbb{CP}^2 can be bounded from above by global topological data of the curve, as genus and first Betti number (see [BZ]). These bounds involve very deep Bogomolov–Miyaoka–Yau inequality from algebraic geometry.

Thus the following result seem to be a very mysterious and shows a deep link between knot theory and algebraic geometry.

Proposition 4.6. *Let ρ_{ab} be the integral of the Tristram–Levine signature of an algebraic knot (see (4.1)) and H^2 be like in (4.3). Then*

$$0 < -3\rho_{ab} - H^2 < \frac{2}{9}.$$

Proof. It easy to observe that

$$\Delta := -3\rho_{ab} - H^2 = \frac{1}{a_1 p_1} + \sum_{k=2}^n \left(\frac{1}{a_k p_k} - \frac{p_k}{a_k} \right).$$

On the one hand

$$\Delta \leq \sum_{k=1}^n \frac{1}{a_k p_k}.$$

Recall that $a_{k+1} = a_k p_{k+1} p_k + q_{k+1}$, so $a_{k+1} p_{k+1} > a_k p_k p_{k+1}^2 \geq 4a_k p_k$. Hence

$$\Delta \leq \frac{1}{a_1 p_1} \sum_{k=0}^{n-1} \frac{1}{4^k} < \frac{4}{3a_1 p_1}.$$

But $a_1 p_1 \geq 6$, so one inequality is proved.

To prove in the second one, let us reorganise terms of Δ as follows

$$\Delta = \sum_{k=1}^{n-1} \left(\frac{1}{a_k p_k} - \frac{p_{k+1}}{a_{k+1}} \right) + \frac{1}{a_n p_n}.$$

But

$$\frac{1}{a_k p_k} - \frac{p_{k+1}}{a_{k+1}} = \frac{1}{a_k p_k} - \frac{p_{k+1}}{a_k p_k p_{k+1} + q_{k+1}} > \frac{1}{a_k p_k} - \frac{p_{k+1}}{a_k p_k p_{k+1}} = 0.$$

□

We end up the chapter with the simplest example of multibranch singularity, i.e. with a singularity defined locally by $x^d - y^d = 0$ with $d \geq 2$. Its link at singularity is the torus link $T_{d,d}$. Let us consider a set

$$\Sigma_d = \left\{ \frac{i}{d} + \frac{j}{d}, 1 \leq i, j \leq d-1 \right\}.$$

Here the element k/d appears in Σ_d precisely $d-1-|d-1-k|$ times, according to possible presentations $k = i+j$, $1 \leq i, j \leq d-1$. Let $s_d(x)$ be the function computing the elements of Σ_d in $(x, x+1)$ with a '-' sign and the others with '+' sign. Then s_d is almost equal to the Tristram–Levine signature of link $T_{d,d}$. We have the formula

$$s_d = 2 \sum_{k < d/2} (k-1) \chi_{\left(\frac{k}{d}, \frac{d-k}{d}\right)} - 2(k-1) \sum_{k > d/2} \chi_{\left(\frac{d-k}{d}, \frac{k}{d}\right)} - (d-1).$$

The final term, $-(d-1)$, comes from the $d-1$ elements of the set Σ_d of type d/d . They belong to any interval $(x, x+1)$. Thus, the integral of s_d is equal to

$$\int_0^1 s_d = -2 \sum_{k=1}^{d-1} (k-1) \frac{2k-d}{d} - (d-1).$$

But an elementary calculus shows that

$$\sum_{k=1}^{d-1} (k-1)(2k-d) = \frac{d(d-1)(d-2)}{6}.$$

Hence

$$\int_0^1 s_d = -\frac{1}{3}(d-1)(d+1).$$

On the other hand, in order to resolve the singularity of C we need only one blow-up. The exceptional divisor E consists of single rational curve with $E^2 = -1$. Then $K = K_X = \alpha E$ and $C' = \beta E$ (as E spans second (co)homology of blown-up space) and $K(K+E) = -2$ by genus formula, so $K = E$ and $C' \cdot E = d$, so $C' = -d \cdot E$. Thus $K+D = K+C'+E = (2-d)E$. Moreover, this divisor is nef, so its Zariski–Fujita decomposition is trivial, $H = (2-d)E$, $N = 0$, so in this case

$$H^2 = (d-2)^2.$$

This shows that, in case of general links, a trivial analogue of Proposition 4.6 does not hold.

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